STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

HORIZON TECHNOLOGIES, LLC., its partners, officers, directors, employees, affiliates, successors and assigns

FILE NO. 0800216

SUMMARY ORDER TO CEASE AND DESIST

TO THE RESPONDENT:

Horizon Technologies, LLC. 2741 W. Southern Ave., Ste. #6 Tempe, Arizona 85282

On information and belief, I, Jesse White, Secretary of State, for the State of Illinois, through my designated representative, having been fully advised in the premises by the staff of the Securities Department, Office of the Secretary of State, herein find:

- 1. That Horizon Technologies, LLC, Respondent (the "Respondent") is a business entity maintaining its principal offices at 2741 W. Southern Ave., Ste #6, Tempe, Arizona 85282.
- 2. That during February of 2008 the Respondent cold called at least one Illinois Resident at her home in Chicago, Illinois.
- 3. That said Illinois resident after several telephone conversations with Respondents representatives ordered and purchased the exclusive distributorship package (the "Package") which Respondent offered to the Illinois resident as the opportunity to start their own website from which they would generate a profit for every sale that is made by Purchaser.
- 4. That the Respondent represented to the Purchasers that the Package Respondent would provide to the Purchaser included distributorship rights, "Millennium Plus Vehicle Theft Protection Systems" products to sell, brochures with his name on it a website, web hosting, domain name registration and propagation.
- 5. That the Purchaser was told that the Package would cost thirty-five hundred dollars (\$3,500.00). The Purchaser refused and counter-offered one thousand one hundred dollars (\$1,100.00) for the Package. Respondent then agreed upon a payment of thirteen hundred dollars (1,300.00) for the package.

- 6. Respondent sold a Package to a least one Illinois resident in the State of Illinois on June 27, 2007 for an agreed upon one thousand three hundred and no cents (\$1,300.00) dollars.
- 7. That Section 5-5.10(a)(6) of Business Opportunity Sales Law of 1995, [815 ILCS 602 ET seq.] (The "Act") provides, inter alia, that a business opportunity is a contract or agreement, between a seller and purchaser, express or implied, orally or in writing, wherein it is agreed that the seller or a person recommended by the seller shall provide to the purchaser any product, equipment, supplies or services enabling the purchaser to start a business when the purchaser is required to make a payment to the seller or a person recommended by the seller of more than \$500 and the seller represents directly or indirectly, orally or in writing, that the seller or a person recommended by the seller will provide a marketing plan.
- 8. That the Respondent's Package, described in paragraphs three through five (3-5), constitutes a business opportunity as that term is defined in Section 5-5.10 of the Act.
- 9. That the activities described in paragraphs two, three and six (2, 3 and 6) constitute an offer and sale as those terms are defined under Section 5-5.20 and Section 5-5.40 of the Act.
- 10. That the activities described in paragraph four (4) constitute a marketing plan as that term is defined under Section 5-5.15 of the Act.
- 11. That Section 5-25 of the Act provides, inter alia, that it is unlawful for any person to offer or sell any business opportunity in this State unless the business opportunity is registered under the Act or is exempt under Section 5-10 of the Act.
- 12. That at all relevant times, Respondent, Independent Wealth Solutions, LLC, their officers and directors, agents, employees, affiliates, successors and assigns, failed to register the business opportunity described in paragraphs three through five (3-5) as required pursuant to Section 5-25 of the Act.
- 13. That, by virtue of the foregoing, the Respondent violated Section 5-25 of the Act.

That Section 5-65 of the Act provides, <u>inter alia</u>, that whenever it appears to the Secretary of State that any person has engaged in or is about to engage in any act or practice constituting a violation of any provision of this Law or any rule, regulation, or order under this Law, the Secretary of State may issue an order directing the person to CEASE and DESIST from continuing the act or practice.

NOW THEREFORE IT IS HEREBY ORDERED THAT: Pursuant to the authority granted by Section 5-65 of the Act, Respondent Horizon Technologies, LLC. its officers and directors, agents, employees, affiliates, successors and assigns are ordered to CEASE and

DESIST from offering or selling any business opportunity in the State of Illinois until the further order of the Secretary of State.

NOTICE is hereby given that the Respondent may request a hearing on this matter by transmitting a written request to the attorney of record Samuel F. Freiman, Illinois Securities Department, 69 West Washington Street, Suite 1220, Chicago, Illinois 60602. Such request must be made within thirty (30) days after entry of this Summary Order to Cease and Desist. Upon receipt of a request for a hearing, a hearing will be scheduled as soon as reasonably practicable. Request for hearing will not stop the effectiveness of this Summary Order to Cease and Desist.

FAILURE BY ANY PERSON NAMED IN THIS ORDER TO REQUEST A HEARING WITHIN THIRTY (30) DAYS AFTER ENTRY OF THIS SUMMARY ORDER TO CEASE AND DESIST SHALL BE DEEMED TO CONSTITUTE A WAIVER OF ALL RIGHTS BY SUCH PERSON TO A HEARING AND THE ORDER TO CEASE AND DESIST AS TO SUCH PERSON WILL BECOME PERMANENT.

DATED: This 21st day, of April, 2008.

esse White Secretary of State

State of Illinois

Attorney for the Secretary of State:

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